

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Rand David Dannenberg

Title:

Optical Coatings and Associated Methods

Application No.:

09/944,050

Filing Date:

August 30, 2001

Examiner:

Chang, Audrey V.

Group Art Unit:

2872

Docket No.:

VONA.004US0

Conf. No.:

8351

## Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to: Commissioner for Patents, Washington, D.C. 20231, on 2/11/04 Commissioner for Patents, Washington, D.C. 20231, on \_

Signature

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FEB 2 4 2004

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(c) WITH FEE

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Listing of these documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the 1. instant invention;
  - 2. a representation that a search has been made; or

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Serial No.: 09/944,050

3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). A check including \$180.00 for the information disclosure statement fee under 37 C.F.R. § 1.17(p), is enclosed. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,

K. Alison de Runtz

Reg. No. 37,119

Parsons Hsue & de Runtz LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (415) 693-0194 (Fax)

Serial No.: 09/944,050

U.S. Depart	tment of	Commerce, Patent a	nd Trademark	Atty. Docket No.	Atty. Docket No.			Application No.	
INFORMA	TION I	DISCLOSURE STAT	TEMENT BY	VONA.004US0	VONA.004US0 RECEIVED Applicant(s)			09/944,050	
		APPLICANT		Applicant(s)				Conf. No.	
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U.S. Patent Documents									
*Examiner Initial		Document Number	U.S. P	Name	Class	Subclass	Filing Date If Appropriate		
	1	6,106,676	8/22/00	Terry et al.					
	2	6,514,620	2/4/03	Lingle et al.					
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	L	OTHER AR	[	l uthor, Title, Date, Pertine	ent Pages, I	 Etc.)			
P. A. Greene et al., Modeling of Production Scale Reactive Deposition of a Cylindrical Magnetron, paper associated with an oral presentation made on April 18, 2000 at the 43 <sup>rd</sup> Annual Technical Conference Proceedings of the Society of Vacuum Coaters of April 15-20, 2000. Pre-prints of the paper were made available by the Society of Vacuum Coaters at the conference proceedings on April 17, 2000.									
	4	P. Greene et al., Model of Production Scale Reactive Deposition, paper associated with an oral presentation made at the 42 <sup>nd</sup> Annual Technical Conference Proceedings of the Society of Vacuum Coaters of April 17-22, 1999. The oral presentation took place during the conference proceedings, although the precise date of the oral presentation is not known. It is believed that pre-prints of the paper were made available by the Society of Vacuum Coaters at some time during the conference proceedings. It is believed that copies of this paper were mailed by the Society of Vacuum Coaters to one or more unknown recipient(s) on a mailing date of August 22, 1999, but not before August 19, 1999.							
,	5	P. Greene et al., Reactive Deposition and Material Properties of TiO <sub>x</sub> , ZnO <sub>x</sub> , paper associated with an oral presentation made on June 17, 1999 at conference proceedings of the International Symposium on Sputtering and Plasma Processes (ISSP) in June of 1999. Pre-prints of the paper were made available at some time during the conference proceedings.							
	6	R. Dannenberg et al., Reactive Sputter Deposition of Titanium Dioxide, Thin Solid Films 360 (2000) 122-127, published on February 1, 2000.							
Examiner			Date Considere	ed					
				ot citation is in conforman					